



Practitioner's Docket No. U 015600-5

PATENT

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2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application

of \_\_\_\_\_

Inventor(s)

for \_\_\_\_\_

Title of invention

OR

In re application of: **Stephanie WALD, et al**

Serial No.: 10/522,069

Group No.: N/A

Filed: January 21, 2005

Examiner: N/A

For: **SYSTEM FOR MULTIMEDIA VIEWING BASED ON ENTITLEMENTS**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***

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Date: April 12, 2005

Signature

JULIAN H. COHEN

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\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application published listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

#### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

**NOTE:** "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

**WARNING:** "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

If a fee is required, please charge deposit account 12-0425.



SIGNATURE OF PRACTITIONER

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stephanie WALD, et al

Serial No.: 10/522,069

Group No. N/A

Filed: January 21, 2005

Examiner: N/A

For: SYSTEM FOR MULTIMEDIA VIEWING BASED ON ENTITLEMENTS

Attorney Docket No.: U 015600-5

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached English-language version of an Action or International-type Search Report from a foreign office in respect of counterpart International Application No. PCT/IL03/00737 that indicates the degree of relevance found by the foreign office. The Search Report makes consideration of any non-English art required. MPEP 609.

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***

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Date: April 12, 2005

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JULIAN H. COHEN  
(type or print name of person certifying)

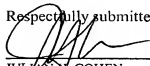
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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.L./

We also draw the Examiner's attention to the additional references which are considered in the specification.

Form PTO-1449 is also attached with reference copies.

Respectfully submitted,



---

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26 WEST 61<sup>ST</sup> STREET  
NEW YORK, NY 10023  
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FORM PTO-1449

U. S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

U 015600-5

10/522,069

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT

Stephanie WALD et al.

FILING DATE

GROUP

01/21/2005

(Use several sheets if necessary)

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
	AA	5,708,478	01/1998	Tognazzini	
	AB	5,740,369	04/1998	Yokozawa et al.	
	AC	5,804,803	09/1998	Cragun et al.	
	AD	5,864,125	01/1999	Szabó	
	AE	5,971,277	10/1999	Cragun et al.	
	AF	5,979,757	11/1999	Tracy et al.	
	AG	6,169,498	01/2001	King et al.	
	AH	6,199,753	03/2001	Tracy et al.	
	AI	6,205,396	03/2001	Teicher et al.	
	AJ	6,332,127	12/2001	Bandera et al.	
	AK	6,401,032	06/2002	Jamison et al.	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
	AL	01/52451	WO		
	AM	2 326 004	GB		
	AN				

## OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

AO	Dierks, T. and C. Allen. "The TLS Protocol Version 1.0"., <i>Network Working Group, Request for Comments: 2246</i> , The Internet Society, 1999, <a href="http://www.ietf.org/rfc/rfc2246.txt">www.ietf.org/rfc/rfc2246.txt</a> , pages 1-71
AP	Wills, Tom. "The Identity of Electronic Devices", Digital Identity World, <a href="http://www.digitalidworld.com/print.php?sid=96">www.digitalidworld.com/print.php?sid=96</a>
AQ	Stajano, Frank. <u>Security for Ubiquitous Computing</u> . West Sussex, England: John Wiley & Sons, Ltd, 2002, pages 88-105
AR	Kessler, Michelle. "Several consumer products to get 'tagged'", USA Today.com, January 27, 2003, pages 1-2
AS	Larcombe, Tim. "TV 2.0: Get Better Connected. Marketing in a television industry transformed by digital technology", Agency.com, Ltd., 2002

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K/L/

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
	AA	6,448,979	09/2002	Schena et al.	
	AB	6,456,234	09/2002	Johnson	
	AC	6,473,781	10/2002	Skagerwall et al.	
	AD	6,484,148	11/2002	Boyd	
	AE	6,490,432	12/2002	Wegener et al.	
	AF	6,491,217	12/2002	Catan	
	AG	6,496,776	12/2002	Blumberg et al.	
	AH	6,681,393	01/2004	Bauminger et al.	
	AI	6,848,148	02/2005	Braid et al.	
	AJ	2002/0008626	01/2002	Waters et al.	
	AK	2002/0013144	01/2002	Waters et al.	

## FOREIGN PATENT DOCUMENTS

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				YES	NO
	AL				
	AM				
	AN				

## OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

	AO	Braodbent, Jonathan and Patrizia Marti. "Location aware mobile interactive guides: usability issues", pages 1-15
	AP	Brown, Eric S. "Wireless Gets Up Close", <i>Technology Review</i> , <a href="http://www.technologyreview.com">www.technologyreview.com</a> , September 14, 2004, pages 1-3
	AQ	Gilbert, Alorie. "MIT to uncork futuristic bar code", <a href="http://www.msnbc.com">www.msnbc.com</a> , August 29, 2003, pages 1-5
	AR	"Sunglasses to Track Body Temperature?", <a href="http://www.story.news.yahoo.com">www.story.news.yahoo.com</a> , August 26, 2003, pages 1-2
	AS	Radio Frequency Identification (RFID) Home Page. <a href="http://www.aimglobal.co/technologies/rfid/">www.aimglobal.co/technologies/rfid/</a>

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE	
	AA	2002/0077905	06/2002	Arndt et al.	
	AB	2002/0083445	06/2002	Flickinger et al.	
	AC	2002/0102993	08/2002	Hendrey et al.	
	AD	2002/0111154	08/2002	Eldering et al.	
	AE	2002/0133817	09/2002	Markel	
	AF	2002/0138433	09/2002	Black et al.	
	AG	2002/0139839	10/2002	Catan	
	AH	2002/0139859	10/2002	Catan	
	AI	2002/0143860	10/2002	Catan	
	AJ	2002/0147642	10/2002	Avallone et al.	
	AK	2002/0152117	10/2002	Cristofalo et al.	

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	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
	AL				
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## OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

AO	Bennett, Graeme. "Personal Video Recorders. ReplayTV and TiVo, watch out: here comes the PC", Video Buyer's Guide.com, <a href="http://thtechnozone.com/videobuyersguide/">http://thtechnozone.com/videobuyersguide/</a> , January 9, 2001, pages 1-5
AP	Delio, Michelle. "TV Commercials Get Personal", Wired News, <a href="http://www.wired.com">www.wired.com</a> , September 20, 2000
AQ	Thomas, Peter. "Feature: Information Appliances herald the New Usability", www.usabilitynews.com, Copyright 2001-2003, British HCI Group, pages 1-4
AR	Emling, Shelley. "Billboards Move into the Digital Age", Coolsign Systems, <a href="http://www.coolsign.com">www.coolsign.com</a> , March 3, 2003
AS	Richtel, Matt. "New Billboards Sample Radios as Cars Go By, Then Adjust", The New York Times, <a href="http://www.nytimes.com/2002/12/27/business/media/27ADCO.html">www.nytimes.com/2002/12/27/business/media/27ADCO.html</a> , December 27, 2002

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	AA	2002/0156677	10/2002	Peters et al.	
	AB	2002/0160758	10/2002	Pradhan et al.	
	AC	2002/0160759	10/2002	Pradhan et al.	
	AD	2002/0160762	10/2002	Nave et al.	
	AE	2002/0160793	10/2002	Pradhan et al.	
	AF	2002/0161633	10/2002	Jacob et al.	
	AG	2002/0166119	11/2002	Cristofalo	
	AH	2002/0166120	11/2002	Boylan, III et al.	
	AI	2002/0166127	11/2002	Hamano et al.	
	AJ	2002/0164977	11/2002	Link II et al.	
	AK	2002/0164999	11/2002	Johnson	

## FOREIGN PATENT DOCUMENTS

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				YES	NO
	AL				
	AM				
	AN				

## OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

	AO	Martell, Lindsay. "Drive-by Advertising: New Electronic Billboards Tailor Advertising to Passing Motorists", ABCNEWS.com, <a href="http://www.abcnews.go.com">www.abcnews.go.com</a> , December 27, 2002
	AP	Woodruff, Allison et al. "Electronic Guidebooks and Visitor Attention", pages 437-454, <u>International Cultural Heritage Informatics Meeting</u> , Vol. 1. David Bearman and France Garzotto, editors. Copyright Politecnico di Milano and Archives Et Museum Informatics, 2001
	AQ	
	AR	
	AS	

EXAMINER

/Khanh Le/

DATE CONSIDERED

08/11/2009

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